FOX Family Office Forum™

July 17-18, 2018

FOX Family Security Workshop™

July 18-19, 2018

Swissotel | Chicago, IL

The FOX Financial Executives Forum now has a new name: the FOX Family Office Forum.

Anyone who has attended recently knows that the scope of the conference expanded beyond only financial executives long ago. The topics and the peer network now include CEOs, HR managers, technology heads, as well as financial executives. Our new name clarifies that this is a gathering for all family office executives.

The Forum will cover how families are approaching implementation of the new tax and estate regulations, provide an overview of how families should think about the selection of new technology, include demonstrations by numerous leading technology providers, and offer break-out sessions about creating an effective team in your family office, the various iterations of a virtual family office as well as the lessons learned, and considerations for converting business structures.

There will be the annual in-person gatherings of the **Human Capital**, **Technology Operations and Data Security,** and **Family Learning Networks**. The Forum will be centrally located in Chicago at the Swissotel on July 17-18, 2018.

A BONUS WORKSHOP

This year, the FOX Family Office Forum will be followed by a special new workshop focused on the physical, personal, and cyber security risks our families face today—the **FOX Family Security Workshop**. This workshop is included for anyone registered for the FOX Family Office Forum and will conclude with lunch on July 19. Advance registration is required, see details inside.

FORUM GUEST PRESENTERS

Thomas W. Abendroth
Partner, Practice Group Leader,
Private Clients, Trusts and Estates, Schiff Hardin LLP

Cassie Atteberry
Human Resources Director
Chinquapin Trust Company

Mark J. Blumenthal, CPA
Partner
Plante Moran

Andrew L. Busser
Managing Director of Strategy
Pitcairn

Steven Draper
Partner, Markets & Best Practices
ETON Solutions

Mark Galante
President | Field Operations
PURE Insurance

Bonnie Gauger
Human Resources Director
Johnson Keland Management

James P. Kane, CIC, CRM
Senior Vice President of Personal Risk
USI Insurance Services, LLC

Yung-Yu Ma, Ph.D.
Chief Investment Strategist
BMO Wealth Management, CTC | myCFO

Jesica Speer
Senior Manager, Private Wealth Services
Grant Thornton LLP

Domingo Such
Partner, Firmwide Chair, Family Office Services
Practice, Perkins Coie LLP

Danielle Valkner
Partner, Advisory Services
PwC

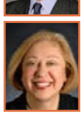
FOX FORUM AND WORKSHOP PRESENTERS

Alexandre Monnier
President

Amy Hart Clyne
Executive Director, Knowledge Center

Tony Gebely
Chief Technology and Integration Officer

Charles B. Grace, III
Managing Director



Mariann Mihailidis
Managing Director, Councils

WORKSHOP GUEST PRESENTERS

Patrick Flanigan, CEH
Manager
Plante Moran

Rob Gray
Senior Director of Executive Security
Programs and Operations
Cox Enterprises, Inc.

Stephen W. Locke, CISSP
Director of Security Technology
Northern Trust

Cory T. Lunn, CISA
IT Assurance Manager
Wolf & Company, P.C.

Joe Oleksak, CISSP, CRISC
Partner Cybersecurity
Plante Moran

Eric Powell
Chief Security Officer
Bayshore Global Management LLC

Colin Taggart, CISSP, CISA, CPA
Senior Manager
Plante Moran

Brent White
Sr. Security Consultant, Threat Services
NTT Security

VENUE AND ACCOMMODATIONS

Swissotel
323 East Wacker Drive
Chicago, IL 60601

1.888.73.SWISS

www.swissotelchicago.com

FOX has reserved a limited number of rooms at the Swissotel at a rate of $249 per night plus applicable taxes. To obtain this discounted rate, please contact the Swissotel directly at **1.888.737.9477** and mention Family Office Exchange.

You may also book rooms online by visiting the FOX website at **www.familyoffice.com/FOF**

Forum attendees are responsible for making their own accommodation arrangements. The cutoff date for hotel reservations at this special conference rate (subject to room availability) is **Wednesday, June 20, 2018.**

10:00 am **Registration Opens**

10:45 am **Speed Networking (Optional)**

Attending a conference with 100+ of your closest peers is a tremendous networking opportunity, so how do you make the most of it? Speed networking is a great way to quickly (just 6 minutes) and efficiently get to know your peers. FOX staff will be on hand to make this a warm welcome to the 2018 FOX Family Office Forum.

11:45 am **Luncheon**

12:45 pm **Welcome**

Presenter: Alexandre Monnier, *President, Family Office Exchange*

12:55 pm **Key Considerations for Technology Selection and Application in a Family Office**

Presenter: Danielle Valkner, *Partner, Advisory Services, PwC*

Families often ask what the best technology platform for a family office is – making the assumption that there are just one or two types of technology solutions. However, most family offices require a combination of accounting, investment and reporting tools, as well as various supporting tools. The specific tools vary based on the types of investments, activities performed within the office and by service providers, and the needs and desires of the family and its staff.

In this session, we'll provide an overview of family office technologies currently used, and the criteria to consider when making the selection. We'll also discuss the various technology setups used by family offices and best practices on technology selection and implementation.

1:25 pm **Technology Demonstrations: Solution Introductions**

FOX has once again invited multiple technology service providers to highlight their product features with emphasis on how their technology can solve unique challenges inherent in the family office. Each provider will present a brief overview of their technology with emphasis on the solutions their technology provides preceding an actual demonstration of the software.

1:45 pm **Networking Break**

2:00 pm **Technology Demonstrations**

Choose among multiple technology solution providers for three different showcase sessions. Technology providers will demonstrate the features and benefits of their technology with emphasis on how their products uniquely serve the needs of the family office.

Sessions are at 2:00pm, 2:35pm, and 3:10pm

ALTARETURN — IPREO
clearwater — sage Intacct
ETON SOLUTIONS — SEI ARCHWAY
EzeCastle INTEGRATION — SS&C GlobeOp

3:35 pm **Networking Break**

4:05 pm **Executive Dialogues**

- Small Family Offices (1-6 employees)
- Medium Family Offices (7-14 employees)
- Large Family Offices (15+ employees)
- FOX Multi-Family Office, Thought Leaders, and Wealth Advisor Councils

5:15 pm **Forum Networking Reception**

7:30 am Breakfast

8:15 am Opening Day Comments and Day 1 Recap

Presenter: Amy Hart Clyne, *Executive Director, Knowledge Center, Family Office Exchange*

8:30 am A World of Increasing Risk – Are We Whistling in the Dark?

Presenter: Yung-Yu Ma, Ph.D., *Chief Investment Strategist, BMO Wealth Management, CTC | myCFO*

While most indicators predict a healthy economy in the near future, the inevitable downturn lurks ominously on the horizon. In addition, tension with Russia and China, as well as the threat of renewed violence in the Middle East creates warranted anxiety among investors. Certainly the landscape of risks investors and family offices face continues to evolve and some risks may play out slowly over years or decades, but even those discussed daily in the media could play out in different ways. In this session, we'll examine four prominent sources of risk around the globe – environmental, economic, geopolitical, and cyber security – and discuss some of the underlying sources and possible directions, along with prudent positioning in light of these risks.

9:30 am Tax & Estate Update: How Clients are Responding to the Recent Tax Law Changes

Presenters: Thomas W. Abendroth, *Partner, Practice Group Leader, Private Clients, Trusts and Estates, Schiff Hardin LLP*

Jesica Speer, *Senior Manager, Private Wealth Services, Grant Thornton LLP*

Since the Tax Cuts and Jobs Act was released at the end of 2017, family office and multi-family office professionals have been struggling to understand what all this means for their clients' situations and circumstances. This session draws on the experience of two industry experts and the work they are doing with their clients as they evaluate their situation and what it means for the client. Our expert speakers will discuss the opportunities the tax law has created for their clients and the practical strategies they are recommending for making the most of the current tax climate. Some topics that will be addressed in this session include: Gift planning and higher estate exemption exclusions, Entity restructuring options, Deductibility of trust expenses, investment expenses, investment interest, donations to charity, etc.

10:30 am Networking Break

11:00 am Concurrent Breakout Sessions

Please choose one of the four following sessions:

Breakout Session A: Creating an Effective Team for the Family Office

Presenters: Cassie Atteberry, *Human Resources Director, Chinquapin Trust Company*

Bonnie Gauger, *Human Resources Director, Johnson Keland Management*

The biggest asset of any family office is the staff that supports the family clients. Hear from two family office human resource executives about the elements and process to consider in building an effective team that delivers the best client experience for the family. The executives will discuss leadership and team building strategies and offer practical examples to build the best team to support the family. The basic tenets of building an effective family office team will include leadership, assessing talent, and building a team and culture. Actionable take-aways will be provided, along with apply-at-home exercises and additional resources.

Breakout Session B: Virtual Family Offices and Meeting the Needs of Their Clients

Presenters: Charles B. Grace, III, *Managing Director, Family Office Exchange*
Andrew L. Busser, *Managing Director of Strategy, Pitcairn*
Steven Draper, *Partner, Markets & Best Practices, ETON Solutions*

Needs and goals of the family inform the attributes of any good family office. How the family office supports the family in that regard is a big question. Increasingly, certain families are considering transforming into a virtual family office, in part at least, as they think about the family client of the future. But what are the considerations that should be addressed as part of such a change? This session will explore the impact of such a transformation of a family office on oversight, cost, technology, team and advisor ecosystem, as well as insourcing vs. outsourcing of services, among other considerations. What are the different types of virtual family offices that exist? Why have families chosen (or not chosen) this path? FOX Managing Director Charlie Grace will address these questions and walk attendees through scenarios that explore the various iterations of a virtual family office as well as the lessons learned. The session will then divide into facilitated breakout groups to address technology as well as other tactical and strategic considerations for virtual family offices.

FOX Family Office Forum™ and FOX Family Security Workshop™
Agenda: Wednesday, July 18, 2018

Family Office Exchange

11:00 am Concurrent Breakout Sessions

(Continued from previous page.)

Breakout Session C

Protecting Your Wealth Through an Enterprise Risk Management Approach

Presenters: James P. Kane, CIC, CRM, *Senior Vice President of Personal Risk, USI Insurance Services, LLC*

Mark Galante, *President | Field Operations*

Enterprise Risk Management offers a robust approach to managing risk for families, developing a cost-effective, comprehensive plan taking into consideration the rapidly evolving nature of our clients' needs. It takes a holistic approach to identifying, defining, quantifying, analyzing and providing solutions to all the identifiable exposures facing family offices. This session will review the 5 Steps of Risk Management, Loss Control, Loss Mitigation and Transfer of Risk. The understanding of risks using case studies will demonstrate the emerging exposures with current and future generations and how we are proactively addressing the needs of complex families.

Breakout Session D

Considerations for Converting Business Structures

Presenters: Mark J. Blumenthal, CPA, *Partner, Plante Moran*

Domingo Such, *Partner, Firmwide Chair, Family Office Services Practice, Perkins Coie LLP*

The 2017 Tax Act presents planning opportunities around the choice of entity. Existing clients with pass through entities like S-Corporations and LLCs need to consider if maintaining this status is prudent given the changes in the tax law. In the past C-Corporations considered elections to become pass-through entities to avoid two tiers of tax. What is best for a particular client situation will challenge past convention on choice of entity as optimization is dependent on facts and circumstances. This session will cover the 2017 Tax Act changes with respect to choice of entity taxation and highlight planning considerations for family offices that are either pass-through or regarded taxable entities. Case studies will illustrate the planning situations that clients are facing and the steps they are taking to optimize their entity structures going forward.

12:00 pm Grab and Network Discussion Luncheons

Join us for one of four separate luncheons, three of which have a Network topic focus:

FOX Family Learning Network

FOX Human Capital Network

FOX Technology Operations & Data Security Network
(Joined by attendees from the FOX Family Security Workshop.)

General Networking Luncheon
(Joined by attendees from the FOX Family Security Workshop.)

1:00 pm FOX Family Office Forum Concludes

FOX FAMILY SECURITY WORKSHOP

1:00 pm Workshop Opening Remarks

Presenter: Tony Gebely, *Chief Technology and Integration Officer, Family Office Exchange*

1:10 pm Hacking Demystified

Presenters: Patrick Flanigan, CEH, *Manager, Plante Moran*

Joe Oleksak, CISSP, CRISC, *Partner, Plante Moran*

Colin Taggart, CISSP, CISA, CPA, *Senior Manager, Plante Moran*

Organizations value and view security, risk and control differently. Some of these differences are related to risk and threat profiles impacting an organization based on factors such as industry, location, product/services, etc. Some differences are related to management's commitment to security, and others on experience with prior cyber-incidents. In this interactive session we will illustrate the importance of committing to security through live, real-world examples of hacking, and by discussing controls that we often find missing during our penetration testing, which if implemented, can help you to better secure your organization.

2:45 pm Networking Break

3:10 pm Protecting Your Family – The Importance of a Holistic Approach

Presenters: Rob Gray, *Senior Director of Executive Security Programs and Operations, Cox Enterprises, Inc.*

Eric Powell, *Chief Security Officer, Bayshore Global Management LLC*

As new social and geopolitical conflicts arise, threats to a family's security and well-being change. This landscape has materially shifted over the last few years and, as a result, families need to be prepared to address a variety of personal, physical, and cyber risks. In this session, family security directors from two single family offices will share strategies they use to protect the interests of the global, multigenerational families who employ them. They'll also lead the group in a risk assessment exercise and share the best practices and technologies used to mitigate risk.

4:50 pm Day 1 Closing Remarks

5:00 pm Workshop Reception

FOX Family Office Forum™ and FOX Family Security Workshop™
Agenda: Thursday, July 19, 2018

7:45 am Breakfast

8:30 am Opening Remarks

Presenter: Tony Gebely, *Chief Technology and Integration Officer, Family Office Exchange*

8:40 am Be a Better Partner: Strategies for Securing Your Communication with Trusted Advisors

Presenter: Steve W. Locke, CISSP, *Director of Security Technology, Northern Trust*

Financial institutions are critical partners of family offices. Security experts cite cyber threats as one of the top risks to financial markets. Financial institutions are continually challenged by the speed of technological change and the increasingly sophisticated nature of threats. Hear from a leading financial institution technology security expert about threats to the landscape, what they are doing to monitor and mitigate these risks and how a family office can implement security practices that will protect their financial assets and transactions.

9:30 am Networking Break

10:00 am Peer Dialogues

In this interactive discussion, participants will break into small groups to discuss their questions about personal, physical and cyber security.

10:50 am A Hacker's Guide to Physical Security

Presenter: Brent White, *Sr. Security Consultant, Threat Services, NTT Security*

Join Brent White as he discusses proven methods of bypassing popular physical security controls and employees, using only publicly available tools and social engineering. You'll hear war stories from assessments, and the frightening simplicity of gaining unauthorized physical access to many things from server rooms to Top Secret Ops rooms. These assessments will be broken down to discuss the various social engineering and physical security bypass methods and tools used, as well as remediation recommendations.

11:40 am Personal Security 101

Presenters: Rob Gray, *Senior Director of Executive Security Programs and Operations, Cox Enterprises, Inc.*

Eric Powell, *Chief Security Officer, Bayshore Global Management LLC*

In a world where social media makes personal privacy a challenge, how can you keep your family safe? Just as you have a process for evaluating business and investment risks, the process for assessing personal security risks should help you identify areas of vulnerability and outline strategies for mitigating those risks. From travel safety to the bodyguard skill sets, this session will give you the information, tips and tools you need to make smart, practical decisions about personal security for you and your family.

12:30 pm Luncheon Session - Cybersecurity: Policies and Practices for Every Family

Presenter: Cory T. Lunn, CISA, *IT Assurance Manager, Wolf & Company, P.C.*

With cyber breaches making headlines with increasing frequency and magnitude and as more and more family offices have become targets of cyberattacks, it is no surprise that concerns about cybersecurity keep family office executives awake at night. During this working lunch, you'll learn how to have productive conversations with family members about this important topic, how to enhance your current practices, and what steps you can take to develop and maintain a strong cybersecurity program.

1:45 pm Closing Remarks and Adjournment

FOX Family Office Forum™ and FOX Family Security Workshop™

Registration for July 17-19, 2018 | Swissotel | Chicago, IL

Family Office Exchange®

REGISTRATION QUESTIONS

Is this your first time at the FOX Family Office Forum?
❍ Yes ❍ No

In your opinion, what technology do you think will most impact the private wealth management industry over the next 5-10 years?

What are the two biggest changes your clients are concerned about this year?

Do you plan to book a guest room at Swissotel Chicago?
❍ Yes, I plan to stay at Swissotel.
❍ No, I do not plan to stay at Swissotel.
❍ I'm not sure, my plans are not finalized.
Registrants are expected to make their own hotel reservations.

TUESDAY, JULY 17 SELECTIONS

Will you attend the speed networking session at 10:45 am?
❍Yes ❍ No

Will you attend the forum luncheon at 11:45 am?
❍ Yes ❍ No

Please choose a peer dialogue session by type:
❍ Small Family Offices (1-6 employees)
❍ Medium Family Offices (7-14 employees)
❍ Large Family Offices (15+ employees)
❍ Advisors (Multi-Family Office, Thought Leaders and Wealth Advisor Councils)

Will you attend the forum reception at 5:15 pm?
❍ Yes ❍ No

WEDNESDAY, JULY 18 SELECTIONS

Will you attend the forum breakfast at 7:30 am?
❍ Yes ❍ No

Which breakout session will you attend?
❍ A: Creating an Effective Team for the Family Office
❍ B: Virtual Family Offices and Meeting the Needs of Their Clients
❍ C: Protecting Your Wealth Through an Enterprise Risk Management Approach
❍ D: Considerations for Converting Business Structures

Please select which Luncheon you would like to attend on Wednesday, July 18 at 12:00 pm:
❍ FOX Family Learning Network
❍ FOX Human Capital Network
❍ FOX Technology Operations and Data Security Network**
❍ General Networking Luncheon**

*** Joined by attendees from the FOX Family Security Workshop*

FOX FAMILY SECURITY WORKSHOP REGISTRATION QUESTIONS

Do you have a dedicated security resource?
❍ Yes ❍ No

If yes, are they on staff or have you outsourced?
❍ On Staff ❍ Outsourced

Have you done any security/risk planning around any of the following types of security? *(Check all that apply)*
❍ Cybersecurity ❍Personal Security ❍Physical Security
❍ Financial Security

Will you attend the reception on Wednesday, July 18 at 5:00 pm?
❍ Yes ❍ No

THURSDAY, JULY 19 SELECTION

Will you attend the workshop breakfast at 7:45 am?
❍ Yes ❍ No

THREE WAYS TO REGISTER

1. **Scan and email** to: events@familyoffice.com
2. **Register online** at: www.familyoffice.com/FOF
3. **Fax this form** to: 1.312.327.1212

ATTENDEE INFORMATION

Name (First/Last)

Name of Firm/Family Office

Title/Position

Street Address

City *State/Province*

Country *Zip/Postal Code*

Phone

E-mail

Do you have any dietary or special needs?

REGISTRATION FEES

FOX MEMBER		NON-MEMBER*
☐ **Use Event Credit** *(Included in FOX Membership Fee)*	**First Paid Attendee** ☐ **$1,950** **Additional Paid Attendee** ☐ **$1,650**	**First Paid Attendee** ☐ **$2,450** **Additional Paid Attendee** ☐ **$2,100**

Will you stay to attend the FOX Family Security Workshop?

Registration for the Workshop is included with your Forum registration, but you must register in advance. On-site registration for the Security Workshop will not be permitted.

❍ **YES** - I will attend the FOX Family Security Workshop ❍ **NO** - I will NOT attend the FOX Family Security Workshop

PAYMENT METHOD

❍ **Payment by check is enclosed.** *(Check payable in U.S. dollars to Family Office Exchange)*

Please mail check to: **Family Office Exchange**
ATTN: Events
100 South Wacker Drive, Suite 800, Chicago, IL 60606

❍**To pay by credit card** please call the Events Team directly at 1.312.327.1221 and provide credit card details. Note: credit card payments over $7,500.00 are subject to a 3.5% fee on the entire payment.

For event registrations, refunds of payment or forum allotments will be offered if the cancellation is made in writing to Family Office Exchange at events@familyoffice.com five (5) or more business days prior to the start of the event. For more information regarding complaints or administrative policies please call 312-327-1200.

NATIONAL REGISTRY OF CPE SPONSORS

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Field of Study: Finance - Finance - Up to 4 CPE credits can be earned from the Forum, and up to an additional 7 from the Workshop. CPE credits are accepted at the option of state certifying boards. Requirements, compliance procedures and acceptance may vary by state.

Program Level: Overview | No prerequisites are required for any of the sessions.

Delivery Method: Group Live Program

Learning Objectives: Upon completion of the program, participants will be able to identify the latest developments and solutions in technology, insurance, taxes, risk, business structures, team building, security, and family office business processes.

Please note: speakers, sessions, and times are subject to change.
All registrations subject to approval by FOX.

Family Office Exchange®

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