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Tuesday, October 29
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OUR COMPANY

Archway Technology Partners (Archway) is a software company whose products support the accounting, investment management and reporting functions for Single Family Offices, Multi-Family Offices, Investment Advisors and Hedge Funds. Archway's technology platform, ATWeb, is a comprehensive web-deployed application that enables clients to simplify the operations and reporting of their firm through a single software solution.

OUR HISTORY

Founded in 2002, Archway is a privately held, employee-owned software company headquartered in Indianapolis, IN. Initially launched to serve the hedge fund industry, Archway's flagship ATWeb product has evolved to serve the multi-faceted wealth management industry through a software-as-a-service model. ATWeb was designed and developed by Archway's internal software development team who continues to support and enhance the product today. Over \$100B in assets are currently managed through the ATWeb platform.

OUR PRODUCTS

ATWeb

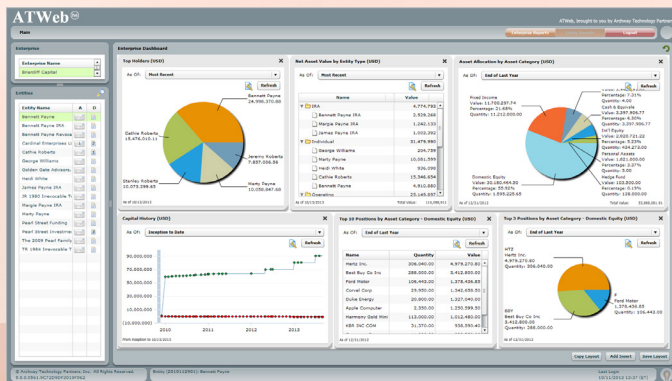
ATWeb is an integrated technology platform that is utilized by the investment, operations, accounting and client reporting departments inside of wealth management firms. Built around a core General Ledger, ATWeb provides industry-specific business functions without sacrificing accounting detail. This enterprise architecture ensures all of your business functions are tightly aligned at their core which dramatically improves reporting accuracy. ATWeb is supported by a team of accounting and technology professionals in Indianapolis who help clients run a seamless accounting, investment management and reporting software solution.

FOIL

FOIL is a client portal designed specifically to help wealth management firms effectively communicate with their clients. Developed as an overlay to the ATWeb application, FOIL pulls accounting, investment and performance data directly from ATWeb and translates the information into highly intuitive charts, graphs and tables. With FOIL, users are presented with the ability to configure an individualized experience based on the unique needs of their clients.

ART

Archway Reporting Technology (ART) is a web-based report writer powered by IBM Cognos® and compatible with data housed in ATWeb. ART enables clients to design and build their own customized reporting content that leverages the rich accounting, investment and performance data housed within the ATWeb application. The reporting output created through ART can range from financial statements and internal management reporting to client statements and investment performance reporting that contain charts, graphs and tables.



IBM®, IBM Cognos® are trademarks and registered trademarks of IBM.

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WWW.ARCHWAYTECHNOLOGY.NET

2013 Company Fact Sheet

Backstop Solutions Group, LLC (BSG) is the leading provider of web-based software solutions for alternative investment managers and institutional investors. Backstop was founded in 2003 and is one of the fastest growing software providers in the financial services industry, serving over 600 firms globally. Backstop has been repeatedly recognized by industry leading managers and service providers as a top technology provider in the alternative asset management field.

Software Platforms



The **Backstop** platform incorporates essential tools for Client Relationship Management (CRM), Investor Relationship Management (IRM), Portfolio Management (PM), and Research Management. Backstop is the ideal solution for managers who have grown frustrated with storing information in multiple applications and spreadsheets, who have been disappointed with other systems that fall short on features, reliability, and service, or who want to streamline complex and labor intensive multi-manager portfolio accounting and document management functions.



InvestorBridge is the ideal solution for portfolio managers looking to increase investment transparency by providing a corporate repository of fund documents, performance, research information, account statements, and more. Create secure online access to fund information through a customizable, professional website, powered by InvestorBridge - but controlled by you. performance, research information, account statements, and more. Create secure online access to fund information through a customizable, professional website, powered by InvestorBridge - but controlled by you.

Client Benefits

We believe that it is more than just great software that sets us apart from our competition. The belief that strong customer relationships are built on the back of clear, consistent communication and highly personalized customer service is at the heart of everything we do at Backstop. Our software and our services are designed with one goal in mind: to help you run your business more effectively.

Support

Our knowledgeable Client Support Team is always available to help users get answers to questions or resolve system issues – right from our headquarters in Chicago. Because we are constantly improving our software, Support also provides complimentary monthly web trainings to get our users up to speed on the latest and greatest Backstop features.

Professional Services

Our experienced professional services team has worked extensively throughout the alternatives industry, successfully implementing firms ranging from hedge fund start-ups to multi-billion dollar fund managers. Your dedicated project manager will work with you throughout the implementation process, providing the highest level of system knowledge and tailored service.

Quick Facts

Year Founded
2003

Chicago Headquarters
233 S Wacker Dr, Suite 3960
Chicago, IL 60606

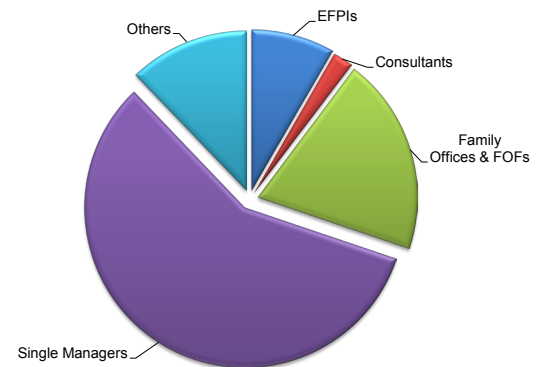
New York
275 7th Avenue, 7th Floor
New York, NY 10001

London
2nd Floor, Berkeley Square House
Berkeley Square, London W1J 6BD

Client Demographics
More than 600 alternative asset managers
with combined AUM of more than \$500B.

Clients located in Australia, Brazil, Canada, Hong Kong, India, Japan, Malaysia, Norway, Singapore, Switzerland, the United Kingdom and the United States

Client Breakdown by Organization Type



Contact Information

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Family Office Services



BNY Mellon has helped families build, manage and preserve their wealth for more than two centuries. Through our Family Office Services group, we apply the best thinking of our organization to the needs of the world's most financially successful families.

Leadership

BNY Mellon

- Leading global provider of securities services
 - \$27.4 trillion in assets under custody and administration¹
- Best Global Custody Bank²
- World's largest global custodian³
 - Custody more than 20% of world's securities
- Leading clearing agent for U.S. Government Securities
- 7th largest global asset manager⁴
 - \$1.5 trillion under management¹
- 7th largest U.S. asset manager⁵
- #1 trustee in the United States⁷
- Safest Bank in U.S.⁸
 - Fifth consecutive year
- Clients include 82% of the Fortune 500⁹

BNY Mellon Wealth Management

- Top 10 U.S. wealth manager⁶
 - Approximately \$179 billion in private client assets¹
 - Approximately \$13.9 billion in deposits and \$9.5 billion in loans outstanding¹
 - Among nation's largest family office and charitable gift services providers
- Two centuries of experience and perspective
 - Oldest private bank in U.S. history
- Expansive network of offices, close to our clients, with offices in 18 states and 4 countries

Family Office Services

- Among first group in United States devoted exclusively to family offices
 - Formed in 1971 for the Mellon family and its charitable interests
- Tradition of successful relationships for family offices with diverse structures and objectives
- More than \$80.4 billion in family office services client assets under management, administration or custody¹

¹As of 9/30/13

²BNY Mellon Asset Servicing named World's Best Global Custody Bank. Source: *Global Finance*, August 2013.

³BNY Mellon ranked World's Largest Global Custodian. Source: *Institutional Investor* magazine, October 22, 2012.

⁴The Bank of New York Mellon based on assets as of 12/31/11. Source: *Pensions & Investments*, October 29, 2012.

⁵BNY Mellon Asset Management based on assets as of 12/31/12. Source: *Institutional Investor*, July/August 2013.

⁶Barron's, September 16, 2013.

⁷BNY Mellon was ranked the No. 1 trustee for U.S. Debt Capital Markets for 2012. Source: *Thomson Reuters*, March 5, 2012.

⁸*Global Finance* magazine's World's 50 Safest Banks ranking, August 2013.

⁹Clients include 82% of Fortune 500. Source: *Fortune* magazine, January 2013.

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About Diamond Hill

Founded in 2000, Diamond Hill Capital Management, Inc. is an independent investment management firm headquartered in Columbus, Ohio with significant employee ownership and \$11.0 billion in assets under management as of September 30, 2013. We provide investment management services to institutions and individuals through mutual funds, separate accounts, and limited partnerships. Existing strategies include five long-only U.S. equity strategies, three alternative long-short equity strategies, and one fixed income strategy.

Our entire investment team shares the same intrinsic value investment philosophy focused on absolute returns. We believe that a company's intrinsic value is independent of its stock price and that competitive long-term returns can be achieved by identifying meaningful differences between market price and intrinsic value using a discounted cash flow methodology. All of our portfolio managers are significant investors in the same portfolios in which our clients invest, and Diamond Hill employees and affiliates are collectively one of the largest investors in our strategies, ensuring that our interests are aligned with those of our clients.

We are committed to achieving excellent long-term results and providing outstanding client service to existing and new clients.

Our Mission

At Diamond Hill, we *serve* our clients by providing investment strategies that deliver lasting value through a shared commitment to our intrinsic value-based investment philosophy, long-term perspective, disciplined approach and alignment with our clients' interests.

Value

We *believe* market price and intrinsic value are independent in the short-term but tend to converge over time.

Long-Term

We *maintain* a long-term focus both in investment analysis and management of our business.

Discipline

We invest with discipline to increase potential return and protect capital.

Partnership

We *align* our interests with those of our clients through significant personal investment in our strategies.

Josh Alderman
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jalderman@diamond-hill.com

Padraig Connolly
Director – Institutional Business Development
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pconnolly@diamond-hill.com



Dow Jones and Company
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www.dowjones.com

[Dow Jones & Company](#) is a global provider of news and business information, delivering content to consumers and organizations via newspapers, websites, apps, video, newsletters, magazines, proprietary databases, conferences and radio. As a producer of unrivalled quality content for over 120 years, Dow Jones is today one of the world's largest news-gathering operations with 1,800 journalists in more than 80 bureaus, serving The Wall Street Journal, America's largest newspaper by total average circulation. Other premier brands include Barron's, MarketWatch and DJX, our flagship news and analytics platform. With 6 local-language sites and 9 editions of the Journal, Dow Jones combines technology with news and data to support better business decisions. Since we pioneered the first successful paid online news site, our industry-leading innovation has enabled us to serve customers wherever they may be, via the Web, mobile devices, Internet-connected televisions and tablets.

Dow Jones' new product DJX consolidates the best of Dow Jones' institutional products on a single platform. DJX gives you access to the world's most-trusted collection of news, information and data, with coverage for every market, region and asset class, including exclusive news available nowhere else. DJX aggregates thousands of hand-selected, licensed publications and research sources to create profiles, reveal trends and show relationships between millions of companies, people, industries, deals and regulatory risks world-wide.

Visit the Dow Jones booth for more information or contact Nina.Fattahi@Dowjones.com



About Jensen

Based in Portland, Oregon, Jensen Investment Management was founded by Val Jensen in 1988. Val believed that an investment management firm focused on the client with a disciplined approach to investing would stand the test of time.

For over 20 years, we have worked hard to win the trust and confidence of our clients. We have achieved this by building an independent firm that attracts and retains talented, committed professionals who are dedicated to servicing our clients.

A Pursuit of Quality

At Jensen Investment Management, our pursuit of quality defines us. We bring clients an unwavering dedication to a consistent investment process while striving to provide exceptional client service. The strength of our investment philosophy is built on a long-term perspective and a commitment to investing in quality businesses. We believe these quality companies possess sustainable competitive advantages, creating value as profitable businesses that can, over time, provide attractive returns with less risk than the overall market.

Jensen offers both a quality growth and quality value strategy derived from a singular investable universe of companies— The Jensen Quality Universe™. We provide separately managed accounts for institutions and private clients, and mutual funds for institutions, advisors and individual investors.

Contact Information:

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Principal

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Kimberly Foley

Manager, Institutional Services

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Inland Investment Advisors, Inc.

About The Inland Real Estate Group of Companies, Inc.

The Inland Real Estate Group of Companies, Inc. (“Inland”) is one of the nation’s largest commercial real estate and finance groups with over \$20.8 billion in managed assets in 48 states. As a group, Inland entities provide a comprehensive suite of commercial real estate services, including sponsoring and incubating real-estate related investment funds for individuals, institutions, and family offices.

Inland Investment Advisors, Inc. is a boutique investment management firm focused on private and public real estate investments on behalf of Family Offices, high net-worth individuals, endowments, real estate funds and REITs. As of March, 31, 2013, Inland Investment Advisors has over \$630 million in AUM.

Inland Private Capital Corporation offers replacement properties for investors participating in a 1031 tax-deferred exchange, as well as private placement opportunities for qualified investors. As of July 31, more than \$2.8 AUM

Inland Institutional Capital Partners Corporation specializes in identifying institutional joint ventures and large scale investment opportunities for the real estate companies and REITs that are part of The Inland Real Estate Group of Companies, Inc. Since 2005, Inland Institutional Capital Partners has facilitated the completion of transactions with a value in excess of \$8.0 billion.

The Inland Real Estate Group of Companies, Inc. is comprised of a group of independent legal entities some of which may be affiliates, share some common ownership or have been sponsored and managed by Inland Investments or its subsidiaries and are collectively referred to herein as “Inland”. For more information on The Inland Real Estate Group of Companies, Inc. and its affiliates: www.inlandgroup.com.

Kreuzberger|Associates

KREUZBERGER | ASSOCIATES is an executive search and contract staffing firm serving the family office, high net worth and wealth management sectors. Our functional concentration is focused around accounting, finance, investment and general management roles. Headquartered in the San Francisco Bay Area and now in its 26th year of operation, our firm has built a strong regional and national reputation by focusing on quality and excellence in customer service—which applies to clients and candidates alike.

Focused on the hallmarks of integrity, experience and exceptional client service, our firm has served as a trusted advisor to clients including single family offices, multi-family offices, captive investment management firms, investment companies, private foundations and privately-held and/or family owned businesses across many different geographies and levels of organizational complexity.

Through this extensive experience, our firm has developed practice expertise in understanding the unique subtleties and complexities that characterize family offices, as well as the ability to define and articulate the specific attributes of each individual organization. We are well versed in many of the issues confronting family offices and family owned and/or operated organizations, from generational transfer issues to mission-driven initiatives to incentive compensation plans to governance and management. We bring this deep expertise, along with our extensive experience in the executive search profession, to each engagement.

Our extensive focus on the high net worth sector has originated through referrals from our many deep relationships with trusted advisors to affluent families including CPAs, attorneys, risk management professionals and investment advisors. Much of our new business comes from ongoing referrals from these trusted advisors and repeat business from our existing high net worth clients.

For more information, please see our website: www.kreuzberger.com or contact Neil Kreuzberger: neil@kreuzberger.com or (415) 459-2300.



MANAGEMENT PLANNING, INC.

Founded in 1939

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Orlando, FL

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Princeton, NJ

Mark Lingerfield
(609) 955-5738

For more than 70 years, MPI has been turning business, economic and financial data into actionable insights that contribute to the objectives of our clients. Working as a team, we help deliver the analysis and supporting evidence necessary to make critical decisions and achieve business objectives.

From valuation and financial reporting to advisory services and litigation support, our disciplined, team-based approach ensures that we fully understand client needs and provide the advice and representation needed to succeed. This has allowed us to build strong business relationships that have spanned generations. As a privately held enterprise, MPI's focus is the welfare of our clients, and that our work is dependable, objective and impartial.

Tax-Based Valuations

Gift and Estate Tax purposes, Litigation Support, Blockage Discounts, Buy-Sell Agreements, Charitable Contributions, Corporate – Partnership and Marital Dissolution, Restricted Stock, S Corp Conversions, Succession Planning

Financial Reporting Valuations

Purchase Price Allocations, Transaction Pricing and Structuring, Intercompany Transfer Pricing, Financing Securitization/Collateralization, Impairment Testing, Goodwill Analysis, Early Stage Company Valuations

Transaction and Advisory Services

Evaluating Strategic Alternatives, Sale/Merger Analysis, M&A Advisory, Fairness Opinions, ESOP Advisory

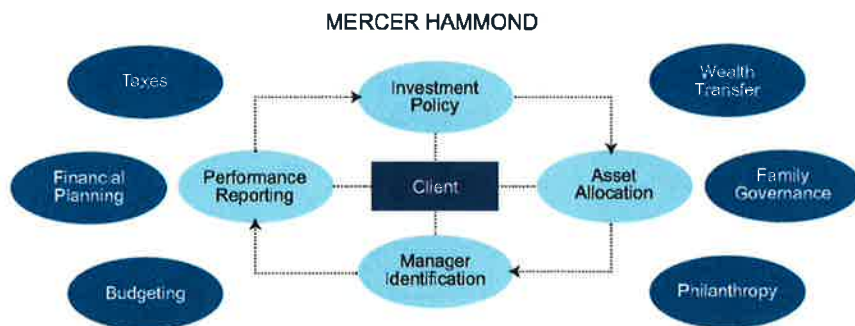
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MERCER PRIVATE CLIENT INVESTMENT CONSULTING

ARE YOU A MULTI-GENERATIONAL
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NEEDS?

IF SO, MERCER CAN HELP.

Our private client investment consulting team specializes in the formation and implementation of financial strategies meeting the unique objectives of each family. We coordinate with your tax planning, and concierge service providers. We work with clients ranging in size from high net worth individuals with \$25 million to family offices managing portfolios over \$1 billion.



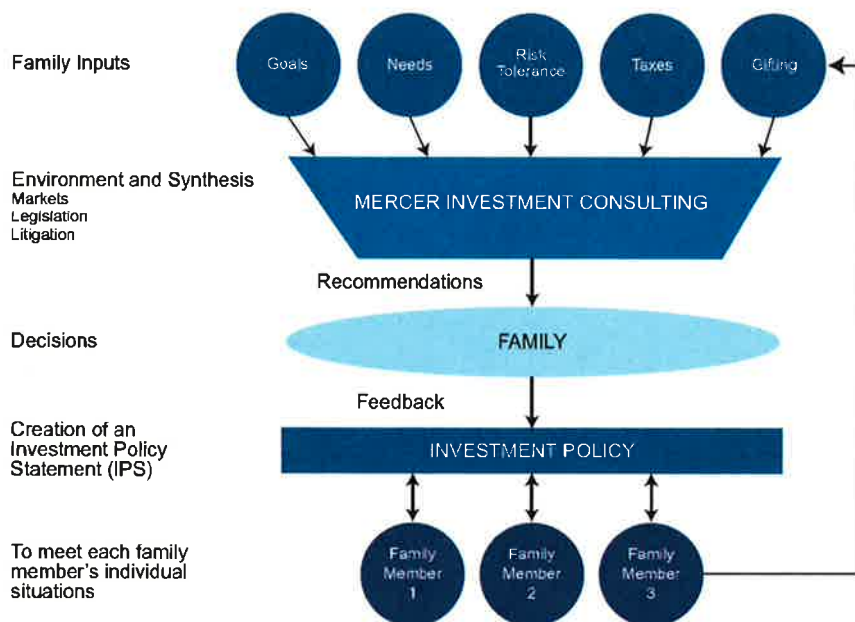
KEY FACTS

(As of 12/31/12)

- Nationally, we have 43 private wealth clients with a total of \$6.8 billion in assets.
 - Twenty-eight have less than \$100 million in assets under advisement.
 - Eleven have between \$100-\$500 million in assets under advisement.
 - Four have more than \$500 million in assets under advisement
- Average client to consultant ratio is 7:1
- 110+ full time manager researchers globally

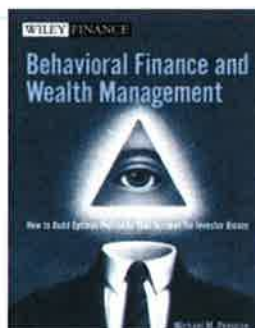
CUSTOMIZED PORTFOLIOS

For all clients, we work to create investment plans uniquely tailored to their needs, covering investment policy, asset allocation, manager selection, and performance reporting.



DISCIPLINED PROCESS

Michael Pompian wrote *Behavioral Finance and Wealth Management*, a handbook on investor behavior that establishes a comprehensive framework for understanding and addressing individual and family decision-making. The book covers 20 specific biases that make it difficult for investors to make rational decisions about their portfolio. We believe our experience can help families manage the effects of these biases.



For more information, please contact:

Michael Pompian, Partner
+1 314 982 5701
michael.pompian@mercer.com

References to Mercer shall be construed to include Mercer LLC and/or its associated companies.

Investment advisory services provided by Mercer Investment Consulting, Inc. and Investment management services provided by Mercer Investment Management, Inc.



OSTERWEIS

CAPITAL MANAGEMENT

Equity

Fixed Income

Flexible Balanced

Osterweis Capital Management was founded in 1983 to serve the portfolio management needs of high net worth individuals, foundations and endowments. We employ risk-averse investment strategies predicated on the belief that strong long-term investment results are best achieved through a compounding of reasonable gains and the avoidance of major losses. Therefore, we strive to limit downside exposure as well as generate upside returns.

The fundamentals underlying the firm's philosophy are flexibility and disciplined investment analysis. We do not believe it is in our clients' best interest to constrain our investing solely to certain segments of the market. In our view, doing so exposes portfolios unnecessarily to risk and limits possible upside.

**To learn more about how we can work with you,
please contact:**

Shawn Eubanks
Vice President
(800) 700-3316
shawn.eubanks@osterweis.com



Pershing Advisor Solutions LLC

Pershing Advisor Solutions is one of the world's leading providers of execution, custody, financing and business management solutions to help advisors manage their businesses efficiently and serve their clients effectively. Our clients are professionally managed, growth-oriented firms that serve high-net-worth and ultra-high-net-worth clients. We have built our registered investment advisor custody business from the ground up, organized around the needs of the advisory firms we serve in order to create the New Model Custodian[™]. With a focus on delivering a superior client service experience, innovative technology and financial solutions and a practice management offering geared towards implementation, we help investment managers, wealth managers and family offices thrive in today's dynamic environment. Pershing Advisor Solutions LLC (member FINRA/SIPC) is a BNY Mellon company

Rockit Solutions

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www.rockitco.com

Rockit[®] Solutions, LLC is a wholly-owned subsidiary of Rockefeller & Co. Rockefeller & Co. was incorporated in 1979 as part of its evolution from a single-family office to a fully integrated wealth and investment management firm and registered with the U.S. Securities and Exchange Commission in 1980. The firm's history dates back to 1882 when John D. Rockefeller established a New York office to manage the Rockefeller Family's investment, personal and philanthropic interests. In the late 1990's after more than 100 years of experience managing the Rockefeller family office, the professionals at Rockefeller & Co. knew that the wealth information systems available in the market place simply did not suffice. As a result, they decided to build a proprietary platform to do just that. Conceived as a single-application solution, Rockit[®] *Command* was launched on January 1, 2000.

In 2005, Rockefeller & Co. made Rockit Solutions an independent business, offering Rockit[®] *Command* and services to other wealth holders and advisors – clients who need the same timely, accurate reporting and service required by the Rockefeller family office.

Rockit[®] *Command* is the technology platform at the center of our solution suite. In combination with accounting professionals and industry analysts, this powerful transaction processing system coupled with an integrated general ledger translates complex multi-dimensional data into complete, timely information for confident decision-making. Key applications and services offered as part of our solution suite include:

- Portfolio Accounting
- Investment & Performance Reporting
- Trust Accounting
- Partnership Accounting & Tax Services
- Rockit Data Integrity Services - Data Aggregation & Reconciliation services
- Portfolio View™, a web-based reporting tool designed for wealth holders and advisors

Mark Rogozinski

Mark Rogozinski brings more than 20 years of experience in various senior-level positions, with a particular focus on the ultra-high net worth space. Mark is the President of Rockit Solutions, an outsourced solution that provides wealth data aggregation and financial reporting solutions for single-family and multi-family offices, high-net-worth individuals and financial institutions. Prior to joining Rockit in 2009, Mark was a Senior Vice President of Corporate Development at WealthTrust, where he was responsible for acquisition development and strategic relationships with other wealth management firms. Before joining WealthTrust, Mark was a partner and Chief Operating Officer for Homrich & Berg, Inc., an Atlanta-based wealth manager, where he was responsible for the direct management of client service, technology, operations and finance. Mark has also served as the Chief Operating Officer at Lydian Wealth Management and Senior Vice President and Chief Financial Officer of Atlantic Trust Pell Rudman.

Mark received a B.S. in Finance/Investments, Entrepreneurial Studies and Communications from Babson College.

FAMILYWEALTHREPORT

EXCLUSIVE INTELLIGENCE FOR THE FAMILY OFFICE COMMUNITY

Family Wealth Report is the leading online source of need to know, in-depth and often exclusive business intelligence to US and Canada-based family offices, private banks, wealth and private asset managers and other trusted advisors to the HNW and UHNW client.

Content comes from both, our own highly trained and experienced editorial team as well as leading industry professionals, helping our readers stay on top of all the important developments.

Family Wealth Report is published by ClearView Financial Media- independent, London-based publishing house providing daily intelligence and regular in-depth market research to the global wealth management community. ClearView also operates a robust events business – our annual schedule includes a series of thought leadership events in world's major financial centres as well as international industry awards programme, expanded in 2014 to include ceremonies in London, New York, Geneva, Singapore, Hong Kong and Dubai.



Family Wealth Report has announced it is increasing its offering for the wealth management industry, with the launch of the inaugural **Family Wealth Report Awards 2014** programme.

These awards are very different to other awards programmes as they concentrate on the client experience rather than quantitative metrics. The awards will be judged by an esteemed panel of judges including some of the most recognised industry figures. The panel is independent from the organisers and full confidentiality is ensured throughout the process.

These awards will build on the success of ClearView's established worldwide awards programme, its robust events business and *Family Wealth Report's* unique position in the global wealth management industry by focusing on the US and Canadian markets.