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Archway Technology Partners

Baron Capital

Diamond Hill Capital Management

GTM Payroll Services

Jefferson National

Jensen Investment Management

John Hancock Investments

Kreuzberger Associates

Mercer

Netage Solutions, Inc.

Osterweis Capital Management

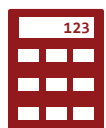
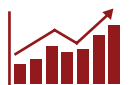
Rockefeller

Archway Technology Partners (Archway) is a software company whose products support the accounting, investment management and reporting functions for Single Family Offices, Multi-Family Offices, Investment Advisors and Hedge Funds. Archway's technology platform, ATWeb, is a comprehensive web-deployed application that enables clients to simplify the operations and reporting of their firm through a single software solution.

### SOFTWARE SOLUTIONS FOR INVESTMENT MANAGEMENT, ACCOUNTING & REPORTING

#### INVESTMENT MANAGEMENT

- 3rd Party Pricing via IDC
- Automated Amortization
- Automated Bank, Brokerage & Custodial Integrations
- Corporate Actions
- Portfolio Modeling & Rebalancing
- Public & Private Investments
- Side Pockets
- Trade, Position & Cash Reconciliation Tools
- Wash Sale Notification



#### ACCOUNTING

- Basis Transfers
- Cash Management
- Fixed Asset Depreciation
- General Ledger
- Multi-Currency
- Multi-Tiered/Nesting
- Partnership Allocations
- Receipts & Disbursements
- Transfers & Gifting
- Trust Accounting



#### REPORTING

- 180+ Standard Reports
- Client Statements
- Financial Reporting
- Performance Reporting
- Report Batching & Scheduling
- Report Writer
- User Queries

#### OTHER CAPABILITIES

- API Calls
- Budgeting
- Client Portal
- Dashboards with Drill-Through
- Data Import & Export via Excel
- Document Manager



#### AP/AR

- Bill Creation
- Check Writing
- Fee Billing
- Invoice Creation
- Workflow Control



#### TECHNOLOGY

- 24/7 Availability
- 99.5% Guaranteed Uptime
- Annual Penetration Testing
- Microsoft & Adobe Technologies
- Offsite Backup & Disaster Recovery
- SOC 2 Certified Hosting Facility
- SQL Server Database
- Web Hosted SaaS

#### QUICK FACTS

FOUNDED IN  
**2002**

ASSETS ON PLATFORM  
**\$117B**

EMPLOYEES  
**60**

HEADQUARTERS IN  
**INDIANAPOLIS**

TECHNOLOGY  
**SOFTWARE-AS-A-SERVICE**

### CLIENT TYPES

SFO

**SINGLE FAMILY  
OFFICES**

MFO

**MULTI-FAMILY  
OFFICES**

RIA

**REGISTERED  
INVESTMENT  
ADVISORS**

HF

**HEDGE FUNDS**

PB

**PRIVATE BANKS**

FA

**FUND  
ADMINISTRATORS**



## About Diamond Hill

Founded in 2000, Diamond Hill Capital Management, Inc. is an independent investment management firm headquartered in Columbus, Ohio with significant employee ownership and \$14.4 billion in assets under management as of September 30, 2014. We provide investment management services to institutions and individuals through mutual funds, separate accounts, and limited partnerships. Existing strategies include long-only U.S. equity strategies, alternative long-short equity strategies, and a fixed income strategy.

Our entire investment team shares the same intrinsic value investment philosophy focused on absolute returns. We believe that a company's intrinsic value is independent of its stock price and that competitive long-term returns can be achieved by identifying meaningful differences between market price and intrinsic value using a discounted cash flow methodology. All of our portfolio managers are significant investors in the same portfolios in which our clients invest, and Diamond Hill employees and affiliates are collectively one of the largest investors in our strategies, ensuring that our interests are aligned with those of our clients.

We are committed to achieving excellent long-term results and providing outstanding client service to existing and new clients.

## Our Mission

At Diamond Hill, we *serve* our clients by providing investment strategies that deliver lasting value through a shared commitment to our intrinsic value-based investment philosophy, long-term perspective, disciplined approach and alignment with our clients' interests.

### *Value*

We *believe* market price and intrinsic value are independent in the short-term but tend to converge over time.

### *Long-Term*

We *maintain* a long-term focus both in investment analysis and management of our business.

### *Discipline*

We invest with discipline to increase potential return and protect capital.

### *Partnership*

We *align* our interests with those of our clients through significant personal investment in our strategies.

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Sean Ryan  
Director – Institutional Business Development  
614.255.3972  
[sryan@diamond-hill.com](mailto:sryan@diamond-hill.com)



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Clifton Park, NY 12065  
[www.GTM.com](http://www.GTM.com)  
888.432.7972

For more information,  
visit [www.GTM.com](http://www.GTM.com)  
or call us at 888.432.7972



## One Call For All

- Nanny Taxes & Payroll
- Workers' Compensation Insurance
- Health Insurance
- Household HR®
- Retirement

## Why You Can Feel Confident Recommending GTM

- Experienced, Trusted & Certified Professionals
- Tax, Labor & Wage Law Regulatory Compliance
- Online Account Access For Families & Nannies
- 99.9% Customer Satisfaction Rating
- SSAE 16 Compliant



**Better Advice, Better Service, Better Value... for an Easier Life!**

Want more information? Call our Advisor Support Desk at 866-667-0564

## Monument Advisor: A Simple Solution for Powerful, Tax-Deferred Investing

Jefferson National — a company founded by the same visionaries who revolutionized financial services with Telebank and E\*TRADE — has now completely re-imagined variable annuities.

For years, traditional VAs have been used by advisors seeking to provide clients with steady income during retirement. But expensive riders and guarantees, coupled with restricted investment options and long surrender periods, limit growth potential. Increased taxes and a challenging retirement landscape highlight the necessity

for a low-cost and transparent, tax-deferred investing solution. The result is Monument Advisor,<sup>1</sup> a no-load variable annuity designed specifically for RIAs and fee-based advisors to grow your advisory practice. That's why JeffNat decided to strip away all the complexities and get back to the basics of transparent tax deferral.

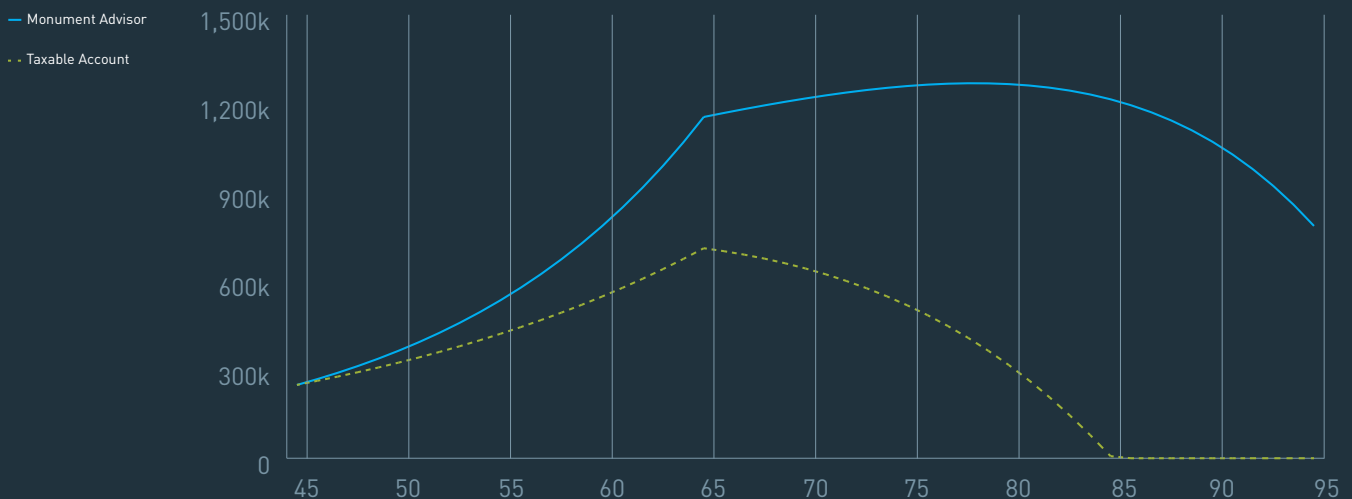
### Tax-Smart Investing with Monument Advisor

Age  
**65**

Monument Advisor generates  
**\$444,020**  
more than a taxable account.

Age  
**86**

Monument Advisor has  
**\$1,194,272**  
left when the taxable account runs out.



#### Assumptions

Distribution Age	65	Horizon	95
Initial Contribution	\$248,000	Portfolio Type	Moderate
After-Tax Dollars Withdrawn Per Year	\$50,000	Income Tax Rate	39.6%
Distribution Income Tax Rate	28%	Inflation Rate	2.0%



## The Ultimate Low-Cost, Tax-Advantaged Investing Solution

As the industry's first flat-fee variable annuity — charging just \$20 per month, regardless of the size of the investment<sup>3</sup> — completing a 1035 exchange from a high-cost traditional VA to Monument Advisor can save clients up to \$3,100 each year.<sup>4</sup> No surrender charges<sup>5</sup> or guarantees means those savings can accumulate and compound your clients' overall wealth.

Monument Advisor lets you implement tax-laden investment strategies with total confidence. Locating tax inefficient assets in a tax-deferred vehicle like Monument Advisor can potentially boost portfolio returns .85%-1.00% without increasing risk.<sup>6</sup>

And it's designed to fit into any advisor's practice, regardless of your management approach. Choose from nearly 400 investment options from 40+ fund families — along with access to third party managers — with free, unlimited trades to build the right solution for your clients.

As you can see, Monument Advisor is an ideal solution to address client needs for managing risk and retirement income, annuity rescue or unlimited tax deferral.

## ABOUT JEFFNAT

Founded in 1937, Jefferson National is a recognized innovator of tax-deferred investing solutions for RIAs, fee-based advisors and the clients they serve. Creating the industry's largest selection of investment options, and utilizing a highly flexible technology platform, an innovative distribution strategy, and cost-effective servicing capabilities, we serve a network of 2,000 RIAs and fee-based advisors nationwide. The Company is based in Louisville, KY, with authority in 49 states and the District of Columbia.

**Want to dig deeper? Call the Advisor Sales Desk at 866-667-0564 or go to [www.jeffnat.com](http://www.jeffnat.com) and click Monument Advisor.**

The results of the comparison between a taxable account and a tax-deferred account are designed to be hypothetical comparisons and not actual predictions or projections of future results in the value of your portfolio. You should use it in conjunction with advice from your financial or tax planning advisor and not as the primary basis for your investment decisions. The hypothetical illustration is based on an analysis of the historical performance of asset classes. Past performance does not guarantee future results. Your actual performance, asset allocation or trading patterns may differ from the values assumed by the calculator, resulting in a different outcome from that calculated. Certain asset classes are riskier than others, please consult your financial advisor for more information. If your tax rate changes you should update your choices in the calculator to reflect those changes. Jefferson National Life Insurance Company does not predict or guarantee future results.

<sup>1</sup>Jefferson National's Monument Advisor has a \$20 monthly flat insurance fee. Additional low-cost fund platform fees ranging from .10% - .35% will be assessed for investors wishing to purchase shares of low-cost funds. See the prospectus for details. Certain low-cost funds may only be available to you if you retain certain investment advisors.

<sup>2</sup>The average variable annuity has 55 underlying fund options according to Morningstar data as of 12/13. Monument Advisor has nearly 400 underlying investment options as of 10/13.

<sup>3</sup>Deposits in excess of \$10 million are subject to company approval.

<sup>4</sup>Average annual fee savings are estimated to be \$3,100. Average annual savings are based on an industry average M&E charge of 1.35% (According to Morningstar<sup>®</sup> 12/13), Monument Advisor's flat annual insurance fee of \$240, and a Monument Advisor average contract value of \$248,000 (as of 1/14).

<sup>5</sup>The IRS may charge a 10% tax penalty on any withdrawal made before age 59 ½. Withdrawal of tax-deferred accumulations are subject to ordinary income tax.

<sup>6</sup>The allocation of asset class returns between NAV return, interest income yield, dividend yield, realized long-term capital gains yield and realized short-term capital gains yield was gathered using data from the CRSP US Mutual Fund Database (MF)<sup>®</sup> 2007 Center for Research in Security Prices (CRSP<sup>®</sup>) at Chicago GSB, The University of Chicago. For each asset class, distribution data reflects the average of all mutual funds available during the 35-year period ending in 2006, adjusted for splits. Annualized asset class total returns are based on the average total return described in Ibbotson's "Stocks, Bonds, Bills, and Inflation" for the eighty year period 1926-2006, less the cost of the mutual funds in the CRSP sample. This time period is intended as a representative sample comprising a broad range of market cycles. They include expansions like the post-World War II boom and 14 recessions as defined by The National Bureau of Economic Research: 1926-'27, 1929-'33, 1937-'38, 1945, 1948-'49, 1953-'54, 1957-'58, 1960-'61, 1969-'70, 1973-'75, 1980, 1981-'82, 1990-'91, and 2001. Although there may be differences between the underlying expenses of the funds in the taxable account and the tax-deferred account, fund expenses are assumed to be identical and returns are net of expenses. These returns are purely hypothetical and do not represent the actual growth rate of any specific portfolio or annuity. **FOR FINANCIAL PROFESSIONAL USE ONLY. NOT FOR PUBLIC USE.** JNL201401-A001



## About Jensen

Based in Portland, Oregon, Jensen Investment Management was founded by Val Jensen in 1988. Val believed that an investment management firm focused on the client with a disciplined approach to investing would stand the test of time.

For over 25 years, we have worked hard to win the trust and confidence of our clients. We have achieved this by building an independent firm that attracts and retains talented, committed professionals who are dedicated to servicing our clients.

## A Pursuit of Quality

At Jensen Investment Management, our pursuit of quality defines us. We bring clients an unwavering dedication to a consistent investment process while striving to provide exceptional client service. The strength of our investment philosophy is built on a long-term perspective and a commitment to investing in quality businesses. We believe these quality companies possess sustainable competitive advantages, creating value as profitable businesses that can, over time, provide attractive returns with less risk than the overall market.

Jensen offers both a quality growth and quality value strategy derived from a singular investable universe of companies— The Jensen Quality Universe™. We provide separately managed accounts for institutions and private clients, and mutual funds for institutions, advisors and individual investors.

## Contact Information:

David G. Mertens

Principal

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[dmertens@jenseninvestment.com](mailto:dmertens@jenseninvestment.com)

Kimberly Foley

Manager, Institutional Services

(503) 726-2065

[kfoley@jenseninvestment.com](mailto:kfoley@jenseninvestment.com)

Kreuzberger|Associates is an executive search and contract staffing firm serving the family office, high-net-worth and wealth management sectors nationally. Our functional concentration is focused around accounting, finance, investment and general management roles. Headquartered in the San Francisco Bay Area and now in its 29<sup>th</sup> year of operation, our firm has built a strong regional and national reputation by focusing on quality and excellence in customer service—which applies to clients and candidates alike.

Focused on the hallmarks of integrity, experience and exceptional client service, our firm has served as a trusted advisor to clients including single family offices, multi-family offices, captive investment management firms, investment companies, private foundations and privately-held and/or family owned businesses across many different geographies and levels of organizational complexity.

Through this extensive experience, our firm has developed practice expertise in understanding the unique subtleties and complexities that characterize family offices, as well as the ability to define and articulate the specific attributes of each individual organization. We are well versed in many of the issues confronting family offices and family owned and/or operated organizations, from generational transfer issues to mission-driven initiatives to incentive compensation plans to governance and management. We bring this deep expertise, along with our extensive experience in the executive search profession, to each engagement.

Our extensive focus on the high-net-worth sector has originated through referrals from our many deep relationships with trusted advisors to affluent families including CPAs, attorneys, risk management professionals and investment advisors. Much of our new business comes from ongoing referrals from these trusted advisors and repeat business from our existing high-net-worth clients.



**NEIL KREUZBERGER, President**

With more than fifteen years of direct experience serving families seeking assistance in staffing for management, accounting and finance roles, Neil Kreuzberger offers tremendous expertise to the family wealth management marketplace. Under his leadership, Kreuzberger|Associates has successfully served single family offices, multi-family offices, captive investment management organizations, and private foundations and related businesses across many geographies and many levels of complexity. Mr. Kreuzberger and his team have developed practice expertise in understanding the unique characteristics shared by family offices, as well as the ability to define and articulate the specific attributes of each individual organization. He brings this deep expertise, along with his twenty five years in the executive search profession, to each engagement.

Mr. Kreuzberger holds an MBA in Accounting and Finance from UCLA, and is a licensed CPA (inactive) in California. His background includes over thirty years of accounting and finance experience in public accounting, technology, venture capital, real estate and executive search. Prior to entering the executive search profession he served as CFO for a \$30M venture capital-financed supplier of financial application software, and worked in real estate syndication and public audit.

Under Mr. Kreuzberger's leadership and guidance, Kreuzberger|Associates has served as a staffing partner to clients within numerous industries and business segments including family office and wealth management, financial services, investment management, technology, real estate, venture capital, nonprofit organizations, and private equity.

Mr. Kreuzberger has served on the Board of Consortium for Children (CFC), a Marin-based non-profit dedicated to supporting and working with public and private agencies to implement innovative programs to make the future of children currently in the welfare system better.

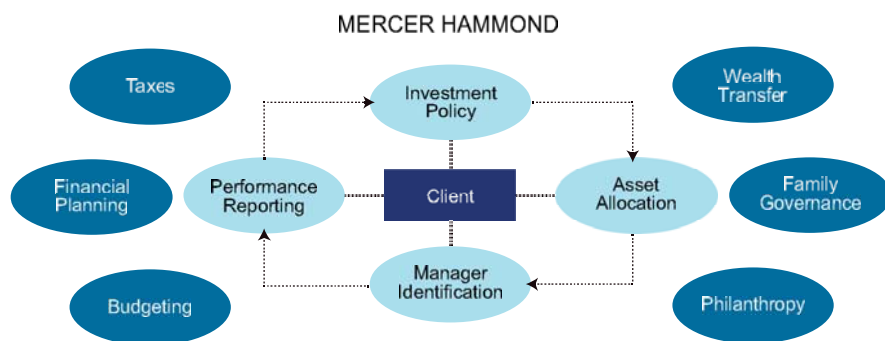


# MERCER PRIVATE CLIENT INVESTMENT CONSULTING

ARE YOU A MULTI-GENERATIONAL  
FAMILY WITH COMPLEX INVESTMENT  
NEEDS?

IF SO, MERCER CAN HELP.

Our private client investment consulting team specializes in the formation and implementation of financial strategies meeting the unique objectives of each family. We coordinate with your tax planning, and concierge service providers. We work with clients ranging in size from high net worth individuals with \$25 million to family offices managing portfolios over \$1 billion.



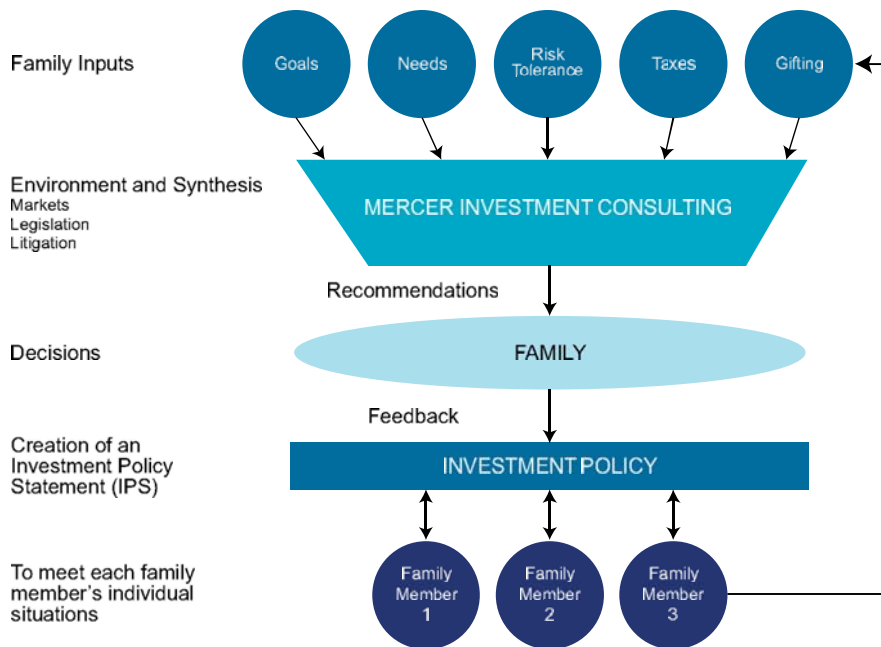
## KEY FACTS

(As of 12/31/12)

- Nationally, we have 43 private wealth clients with a total of \$6.8 billion in assets.
  - Twenty-eight have less than \$100 million in assets under advisement.
  - Eleven have between \$100-\$500 million in assets under advisement.
  - Four have more than \$500 million in assets under advisement
- Average client to consultant ratio is 7:1
- 110+ full time manager researchers globally

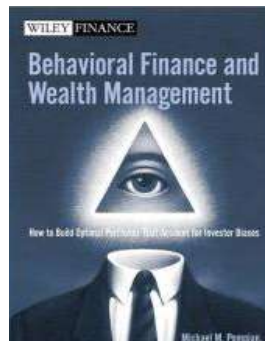
## CUSTOMIZED PORTFOLIOS

For all clients, we work to create investment plans uniquely tailored to their needs, covering investment policy, asset allocation, manager selection, and performance reporting.



## DISCIPLINED PROCESS

Michael Pompian wrote Behavioral Finance and Wealth Management, a handbook on investor behavior that establishes a comprehensive framework for understanding and addressing individual and family decision-making. The book covers 20 specific biases that make it difficult for investors to make rational decisions about their portfolio. We believe our experience can help families manage the effects of these biases.



For more information, please contact:

Michael Pompian, Partner  
+1 314 982 5701  
michael.pompian@mercer.com

References to Mercer shall be construed to include Mercer LLC and/or its associated companies.

Investment advisory services provided by Mercer Investment Consulting, Inc. and Investment management services provided by Mercer Investment Management, Inc.



# OSTERWEIS

CAPITAL MANAGEMENT

Equity

Fixed Income

Flexible Balanced

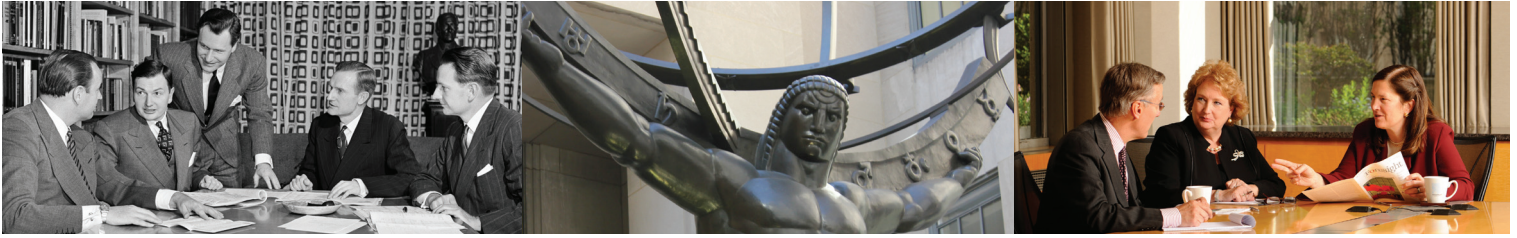
Osterweis Capital Management was founded in 1983 to serve the portfolio management needs of high net worth individuals, foundations and endowments. We employ risk-averse investment strategies predicated on the belief that strong long-term investment results are best achieved through a compounding of reasonable gains and the avoidance of major losses. Therefore, we strive to limit downside exposure as well as generate upside returns.

The fundamentals underlying the firm's philosophy are flexibility and disciplined investment analysis. We do not believe it is in our clients' best interest to constrain our investing solely to certain segments of the market. In our view, doing so exposes portfolios unnecessarily to risk and limits possible upside.

**To learn more about how we can work with you,  
please contact:**

Shawn Eubanks  
Vice President  
(800) 700-3316  
[shawn.eubanks@osterweis.com](mailto:shawn.eubanks@osterweis.com)





## A Global Investment Firm

### Rockefeller & Co.

is a global investment management and wealth advisory firm serving successful institutions, foundations, endowments, and high net worth individuals.

### Rockefeller Wealth Advisory

- Consistent and disciplined approach to long-term investing
- Belief in our ability to generate strong returns through rigorous research, collaboration on ideas and intellectual debate
- Actively invest for the long-term while seeking to capitalize on short-term dislocations
- Utilize a globally diverse investment team to glean better insights on long-term trends and opportunities developing internationally
- Deeply rooted history of involvement with families, family offices, nonprofit organizations and other institutions

### Comprehensive Services

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>■ <b>Investment Advisory</b><br/>Investment Policy<br/>Asset Allocation<br/>Portfolio Construction<br/>Manager Monitoring<br/>Performance Analytics<br/>3rd Party Manager Selection<br/>Research &amp; Consulting<br/>Proprietary Multi-Manager Strategies<br/>- Hedge Funds<br/>- Private Equity Funds<br/>Opportunistic Investment Strategies</li> <li>■ <b>Family Office Services</b><br/>Client Accounting<br/>Financial Reporting<br/>Tax Return Preparation<br/>Bill Paying<br/>Family Office Advisory<br/>Family/Trustee Meetings</li> </ul> | <ul style="list-style-type: none"> <li>■ <b>Wealth Planning</b><br/>Financial Planning<br/>Trust &amp; Estates<br/>Asset Location<br/>Entity Selection<br/>Income Tax<br/>Cash Flow &amp; Liquidity<br/>Philanthropy<br/>Business Succession<br/>Governance</li> <li>■ <b>Trust Company</b><br/>Corporate Trustee<br/>Agent to Personal Trustee(s)<br/>Delaware Trustee<br/>Fiduciary Oversight<br/>Executor<br/>Philanthropic Trust Management<br/>Trust Accounting<br/>Fiduciary Tax Preparation</li> </ul> |
|--|---|

### Rockefeller & Co. at a Glance

- Founded in 1882 by John D. Rockefeller\*
- Private and independent
- \$44.1 billion in assets under administration<sup>1</sup>

### Distinctive Features

#### Focus

A deep understanding of the diverse and demanding needs of our clients.

#### People

Direct access to senior investment professionals and specialists for customized services.

#### Insight

We believe that our long-term investment horizon and global perspective is an advantage in seeking distinguished performance over multiple market cycles.

### Other Capabilities

#### Asset Management

- |                                     |                         |
|-------------------------------------|-------------------------|
| ■ Proprietary Investment Strategies |                         |
| Global Equity                       | U.S. Equity             |
| Multi-Asset Class                   | U.S. Small Cap Equity   |
| Non-U.S. Equity                     | Global Dividend Growth  |
| Sustainability & Impact Equity      | Taxable Fixed Income    |
|                                     | Tax Exempt Fixed Income |

#### ■ Investment Research    ■ Performance Analytics

#### ■ Global Sub-Advisory    ■ Portfolio Review

#### Rockit® Solutions, LLC Information Management Technology

- |                           |                         |
|---------------------------|-------------------------|
| Customized Reporting      | Partnership Accounting  |
| Data Aggregation          | & Tax                   |
| Data Reconciliation       | Trust Accounting        |
| Integrated General Ledger | Portfolio View™ via Web |

# To learn more about Rockefeller & Co.:

**ROCK**CO.COM

**ROCK****IT**CO.COM

**New York, NY**

10 Rockefeller Plaza  
New York, NY 10020  
212-549-5100

**Washington, DC**

900 17th Street NW, Suite 603  
Washington, DC 20006  
202-719-3010

**Boston, MA**

99 High Street, 17th Floor  
Boston, MA 02110  
617-375-3311

**Rockefeller Trust Company, N.A.**

10 Rockefeller Plaza  
New York, NY 10020  
212-549-5100

**The Rockefeller Trust Company (Delaware)**

1201 N. Market Street, Suite 1401  
Wilmington, DE 19801  
302-498-6000

**Rockit Solutions, LLC**

201 Tresser Blvd, Suite 200  
Stamford, CT 06901  
866-497-9111

1. As of September 30, 2014. This number includes assets under management of approximately \$10.2 billion, plus other non-managed assets for which Rockefeller & Co., Inc. and/or its subsidiaries provide other services, such as financial planning, administration and/or consulting for open architecture programs or other assignments, information management, consolidated reporting, and tax and accounting. Information management services are provided by Rockit Solutions, LLC. Please refer to Rockefeller & Co., Inc.'s Form ADV, Part 1A for additional information.
2. Rockefeller Asset Management is a division of Rockefeller & Co., Inc. Rockefeller & Co., Inc. has three principal wholly owned subsidiaries. Rockefeller Trust Company, N.A., a limited purpose national trust bank, The Rockefeller Trust Company (Delaware), a Delaware limited purpose trust company, and Rockit Solutions, LLC. The Rockefeller Trust Companies provide personal trust services and/or estate administration services, acting either as trustee or as agent for individual trustees or as personal representatives, as the case may be. The trust companies generally delegate, on a discretionary basis, investment management responsibility to Rockefeller & Co., Inc., a registered investment adviser with the Securities and Exchange Commission since 1980. Rockefeller & Co. has hired Rockit Solutions, LLC to perform certain middle and back office services. As a result of these delegations of authorities, there is an overlap of personnel and systems with respect to servicing client relationships.